



Let's talk Forex

## ***Si Capital & Financial Services Limited***

*Where Forex standards are set, not just met*

08.04.2024

To  
The Manager  
Listing Department  
BSE Limited

**Scrip Code: 530907**

Sir/ Madam,

**Sub: SDD Compliance Certificate for the Quarter ended March 31, 2024**

Pursuant to Regulation 3(5) and 3(6) of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 and notifications thereon, we hereby submit the SDD Compliance certificate for the Quarter ended March 31, 2024 certified by Managing Director Mr Sreeram Gopinathan Nair.

Kindly take the same on record.

Thank you.

**For S.I. Capital & Financial Services Limited**

**Sreeram Gopinathan Nair**  
**Managing Director**  
**Din:05143385**

Encl: As above



Regd. Office No.27, First Floor, New Scheme Road,  
Pollachi, Coimbatore, Tamil Nadu 642001  
CIN : L67190TZ1994PLC040490  
Tel : 04259 – 233304/05, E-Mail : info@sicapital.co.in  
Website : www.sicapital.co.in



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## **COMPLIANCE CERTIFICATE FOR THE QUARTER ENDED MARCH 31, 2024**

*(Pursuant to Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015)*

I, Sreeram Gopinathan Nair, Managing Director of the company, am aware of the compliance requirement of Structured Digital Database (SDD) pursuant to provisions of Regulation 3(5) and 3(6) of **Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015** (PIT Regulations) and I certify that

1. The company has a Structured Digital Database in place
2. Control exists as to who can access the SDD
3. All the UPSI disseminated in the previous quarter have been captured in Database
4. The system has captured nature of UPSI along with date and time
5. The Database has been maintained internally and an audit trail is maintained
6. The database is non-tamperable and has the capability to maintain the records for 8 years.

I also confirm that the Company was required to capture 1 number of events during the quarter year ended and has captured 1 number of the said required events.

For S.I.Capital & Financial Services Limited

Sreeram Gopinathan Nair  
Managing Director  
Din:05143385



Date:08.04.2024

Place: Pollachi

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